

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. : MBHB00-884-H (500/001))

PATENT

In re Application of:)
Breaker et al.)
Serial No.: 09/780,929)
Filing Date: February 8, 2001)
For: NUCLEIC ACID CATALYSTS WITH)
ENDONUCLEASE ACTIVITY)

Examiner: Not Assigned
Group Art Unit: Not Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Responsive to the Notice to File Missing Parts of Application - Filing Date Granted, mailed May 16, 2001, a copy of which is attached, Applicants submit herewith an executed copy of their Declaration and Power of Attorney, pursuant to 37 C.F.R. §1.27, and substitute drawings, pursuant to 37 C.F.R. § 1.84.

A check in the amount of \$130.00 accompanies this Response.

Respectfully submitted,

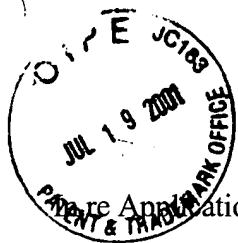
McDonnell Boehnen Hulbert & Berghoff

Date: July 16, 2001

By:

Anita I. Terpstra
Reg. No. 47,132

sector
PATENT #3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. : MBHB00-884-H (500/001))

Re Application of:

Breaker et al.

Serial No.: 09/780,929

Filing Date: February 8, 2001

For: NUCLEIC ACID CATALYSTS WITH
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Examiner: Not Assigned

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TRANSMITTAL LETTER

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

In regard to the above-identified application:

I. We are transmitting herewith the attached:

- a. Response to Notice to File Missing Parts of Application;
- b. Copy of Notice to File Missing Parts of Application;
- c. Executed copy of Oath or Declaration;
- d. Substitute Drawing Sheets 1 - 8;
- e. Assignment Recordation Cover Sheet and Assignment for Yale University;
- f. Assignment Recordation Cover Sheet and Assignment for Ribozyme Pharmaceuticals, Inc.;
- g. Return Receipt Postcard

2. With respect to additional fees:

- ☐ a. No additional fee is required.
- ☒ b. Attached is a check in the amount of \$130.00 pursuant to 37 C.F.R. §1.17(a).
- ☒ c. Attached is a check in the amount of \$40.00 pursuant to 37 C.F.R. §1.21(h).
- ☒ d. Attached is a check in the amount of \$40.00 pursuant to 37 C.F.R. §1.21(h).

3. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on July 16, 2001.

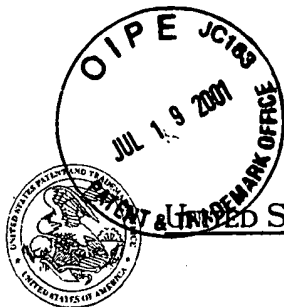
By:



Anita J. Tempstra, Reg No. 47,132

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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,929	02/08/2001	Ronald Breaker	MBHB00,884-H (500.002)

CONFIRMATION NO. 6724

20306
MCDONNELL BOEHNEN HULBERT & BERGHOF
300 SOUTH WACKER DRIVE
SUITE 3200
CHICAGO, IL 60606

FORMALITIES LETTER



OC00000006083131

Date Mailed: 05/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

07/24/2001 KZEMDIE 00000055 09780929 130.00 DP
01 FC:105

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE